

開戶契約修正對照表

2026/05

修正後條文	修正前條文
<p>F_A-2-1_基金_開戶契約書 F_A-6_全委版開戶受託契約(自然人) F_A-6_全委版開戶受託契約(法人) F_A-11-1_開戶契約書條文 F_A-11-3_開戶契約書條文_(共同行銷) F_A-12-1_線上開戶契約書</p>	
<p>壹、 風險預告暨同意書 ……</p> <p>四、國外期貨當日沖銷交易風險預告暨同意書 4. …並就未補足之交易人，取消其當日沖銷交易未沖銷部位之所有反向委託單(含反向加碼委託)，並將其留倉部位先透過相關作業彙整為淨部位(將留倉同一契約買賣部位平倉，剩餘之單式總和即為淨部位)後，本公司將其留倉部位先透過相關作業彙整為淨部位(將留倉同一契約買賣部位平倉，剩餘之單式總和即為淨部位)後，使用委託單改價功能或取消當日沖銷交易未沖銷部位之所有反向委託單(含反向加碼委託)，以各期貨交易所接受之委託方式逕行沖銷，若因故未完成當沖代沖銷者，…</p> <p>…</p> <p>六、電子式下單風險預告暨使用同意書 ……</p> <p>1. 電子式交易涵義為網際網路、語音電話、有(無)線電話、數據通信傳輸等為工具完成之交易。</p> <p>…</p>	<p>壹、風險預告暨同意書 ……</p> <p>四、國外期貨當日沖銷交易風險預告暨同意書 4. …並就未補足之交易人，取消其當日沖銷交易未沖銷部位之所有反向委託單(含反向加碼委託)，並將其留倉部位先透過相關作業彙整為淨部位(將留倉同一契約買賣部位平倉，剩餘之單式總和即為淨部位)後，以各期貨交易所接受之委託方式逕行沖銷，若因故未完成當沖代沖銷者，…</p> <p>…</p> <p>六、電子式下單風險預告暨使用同意書 ……</p> <p>1. 電子式交易涵義為網際網路、語音電話、有(無)線電話、數據通信傳輸等為工具完成之交易。</p> <p>…</p>

七、國內或國外期貨交易帳戶保證金互轉約定書

【交易人需符合主管機關規定之資格，並另行提出約定後適用】

1. 交易人知悉保證金互轉之金額以申請當時保證金帳戶內各幣別可動用之保證金金額為限，且可互轉之幣別跟為同幣別(不可跨幣別互轉)；若帳戶有未沖銷部位時，可互轉金額可能因浮動損益而增減；若交易人欲互轉金額逾越可互轉保證金金額上限時，本公司有權拒絕該筆申請。交易人已充分瞭解如帳戶發生保證金追繳或超額損失等情事，非經交易人同意，本公司不得撥轉交易人其他帳戶賸餘之現金保證金、權利金款項以為支應。

.....

3. 交易人知悉該等國內或國外期貨約定同一期貨方之相同 ID 不同交易帳戶間保證金互轉國內期貨帳戶之，約定出金帳戶須相同，若因交易人變更出金帳戶，致已約定互轉期貨交易帳戶間之出金帳戶不同時，本公司將無法執行保證金互轉作業。

貳、委託買賣期貨受託契約

.....

第五條 保證金、權利金或其他款項收付之約定

.....

六、甲方同意，如甲方帳戶從事國內或國外期貨交易之任一帳務發生超額損失(over loss)時，乙方將暫停甲方任一帳戶提領國內及國外之保證金或權利金申請，直至甲方補足超額損失差額。

七、國內期貨交易帳戶保證金互轉約定書

【交易人需符合主管機關規定之資格，並另行提出約定後適用】

1. 交易人知悉保證金互轉之金額以申請當時保證金帳戶內各幣別可動用之保證金金額為限，且可互轉之幣別跟同幣別(不可跨幣別互轉)；若帳戶有未沖銷部位時，可互轉金額可能因浮動損益而增減；若交易人欲互轉金額逾越可互轉保證金金額上限時，本公司有權拒絕該筆申請。交易人已充分瞭解如帳戶發生保證金追繳或超額損失等情事，非經交易人同意，本公司不得撥轉交易人其他帳戶賸餘之現金保證金、權利金款項以為支應。

.....

3. 交易人知悉該等約定保證金互轉國內期貨帳戶之約定出金帳戶須相同，若交易人變更出金帳戶，致已約定互轉期貨交易帳戶間之出金帳戶不同時，本公司將無法執行保證金互轉作業。

貳、委託買賣期貨受託契約

.....

第五條 保證金、權利金或其他款項收付之約定

.....

六、甲方同意，如甲方帳戶從事國內或國外期貨交易之任一帳務發生超額損失(over loss)時，乙方將暫停甲方帳戶提領國內及國外之保證金或權利金申請，直至甲方補足超額損失差額。

開戶契約修正對照表

2026/05

第九條 通知繳交追加或追繳保證金之方式及時間

- 一、 甲方除應依本契約之約定於開戶時或委託進行交易前，繳足各類交易之保證金或權利金外，乙方認為有風險控管必要時，或因甲方於交易時段(含盤後交易)申請期貨或選擇權部位拆解組合作業或解除已沖銷部位作業等，致甲方帳戶權益數低於所有部位所需之維持保證金時，甲方應依乙方之通知內容與補繳期限，迅速立即全額繳足款項。乙方收到甲方之全額繳足款項前，有權依本契約所定之代沖銷規定辦理。

參、交易細則知會書

……

九、交易保證金追繳與代為沖銷作業 (Mandatory Offset):

……

4. 委託人帳戶於交易時段(含盤後交易)申請期貨或選擇權部位拆解組合作業或解除已沖銷部位作業等，導致帳戶內權益數低於所有部位 (position) 所需之維持保證金 (maintenance margin) 時，本公司將依本項第 1 款規定辦理，於盤後交易時段發生時則反向沖銷非豁免商品。

第九條 通知繳交追加或追繳保證金之方式及時間

- 一、 甲方除應依本契約之約定於開戶時或委託進行交易前，繳足各類交易之保證金或權利金外，乙方認為有風險控管必要時，或因甲方申請期貨或選擇權部位拆解組合作業或解除已沖銷部位作業等，致甲方帳戶權益數低於所有部位所需之維持保證金時，甲方應依乙方之通知內容與補繳期限，迅速立即全額繳足款項。乙方收到甲方之全額繳足款項前，有權依本契約所定之代沖銷規定辦理。

參、 交易細則知會書

……

九、交易保證金追繳與代為沖銷作業 (Mandatory Offset):

……

4. 委託人帳戶申請期貨或選擇權部位拆解組合作業或解除已沖銷部位作業等，導致帳戶內權益數低於所有部位 (position) 所需之維持保證金 (maintenance margin) 時，本公司將依本項第 1 款規定辦理。

開戶契約修正對照表

2026/05

修正後條文	修正前條文
F_A-3-2_外資綜合帳戶開戶契約書	
<p>I. Risk Disclosure Statements and Agreements Risk Disclosure Statements and Agreements</p> <p>4. Risk Disclosure Statement and Agreement for Day-Trading of Overseas Futures Contracts</p> <p>(4)The positions of the overseas day-trading shall be liquidated on the trading day and before close of the trading session on that business day. If the client intends to keep positions overnight or cancels the orders to liquidate day-trading positions, the client is required to deposit such sufficient funds 30 minutes prior to the close of the trading session so as to bring the margin back to the level of the initial margin required for such futures contract. Moreover, from 30 minutes before the close of the trading session, KGI is entitled, but not obligated, to cancel all outstanding day-trading orders and for those who fails to deposit sufficient funds, to cancel all outstanding day-trading reverse orders (including reverse markup orders), KGI shall first consolidate its open positions into net positions through relevant operational procedures (by offsetting long and short open positions under the same contract, with the remaining aggregate single-sided positions constituting the net position), and then to use the function of changing order price or cancel all outstanding day-trading reverse orders (including reverse markup orders), and liquidate open positions of day-trading in such manner acceptable by relevant exchanges. The client shall repay the over loss, if any, as a result of the liquidation. The client shall not instruct to liquidate open positions of the</p>	<p>I. Risk Disclosure Statements and Agreements Risk Disclosure Statements and Agreements</p> <p>4. Risk Disclosure Statement and Agreement for Day-Trading of Overseas Futures Contracts</p> <p>(4)The positions of the overseas day-trading shall be liquidated on the trading day and before close of the trading session on that business day. If the client intends to keep positions overnight or cancels the orders to liquidate day-trading positions, the client is required to deposit such sufficient funds 30 minutes prior to the close of the trading session so as to bring the margin back to the level of the initial margin required for such futures contract. Moreover, from 30 minutes before the close of the trading session, KGI is entitled, but not obligated, to cancel all outstanding day-trading orders and for those who fails to deposit sufficient funds, to cancel all outstanding day-trading reverse orders (including reverse markup orders), and liquidate open positions of day-trading in such manner acceptable by relevant exchanges. The client shall repay the over loss, if any, as a result of the liquidation. The client shall not instruct to liquidate open positions of the day-trading after the time prescribed by KGI, in order to avoid duplicating liquidation of the day-trading open positions. If, however, the client and KGI duplicate the liquidation of day-trading open positions, the positions of the duplicate trades shall all belong to the client.</p>

<p>day-trading after the time prescribed by KGI, in order to avoid duplicating liquidation of the day-trading open positions. If, however, the client and KGI duplicate the liquidation of day-trading open positions, the positions of the duplicate trades shall all belong to the client.</p> <p>...</p>	<p>...</p>
<p>6. Risk Disclosure Statement and Agreement for Electronic Order Placing</p> <p>...</p> <p>(1) The definition of Electronic Trading includes the trading completed via Internet, voice mail, wired (wireless) telephone and data transmission, etc.</p> <p>.....</p>	<p>6. Risk Disclosure Statement and Agreement for Electronic Order Placing</p> <p>...</p> <p>(1)The definition of Electronic Trading includes the trading completed via Internet, voice mail, wired (wireless) telephone and data transmission, etc.</p> <p>.....</p>
<p>7. Agreement on Margin Transfer of Domestic or foreign Futures Trading Accounts</p> <p>(Only applicable to the clients who meet the qualifications defined by the competent authority and after agreement with KGI)</p> <p>.....</p> <p>(3)The client acknowledges domestic or foreign futures that for margin transfers between different trading accounts with the same ID at the same futures firm, the designated withdrawal account of each domestic or foreign futures trading accounts for mutual margin transfer shall be the same. If the client changes the withdrawal account causing</p>	<p>7. Agreement on Margin Transfer of Domestic Futures Trading Accounts</p> <p>(Only applicable to the clients who meet the qualifications defined by the competent authority and after agreement with KGI)</p> <p>.....</p> <p>(3)The client acknowledges that the designated withdrawal account of each domestic futures trading accounts for mutual margin transfer shall be the same. If the client changes the withdrawal account causing the withdrawal account of such trading accounts to be different, KGI will be unable to proceed with the mutual margin transfer.</p>

<p>the withdrawal account of such trading accounts to be different, KGI will be unable to proceed with the mutual margin transfer.</p> <p>II. Futures Trading Agreement</p> <p>Article 11. Notification Method and Timing of Margin Call</p> <p>1. Party A shall pay the margin or premium for the trading in full before opening an account or placing orders pursuant to this Agreement. Meanwhile, whenever Party B considers it necessary to control risk, or where, during trading hours (including after-hours trading), the equity amount in Party A' s account is less than the margin to be maintained for all positions upon Party A' s application for disassembly of the portfolio for futures or option positions or termination of offset positions, Party A shall make up the required amount in full within the specific time limit per Party B' s notice. Party B shall be entitled to proceed with the mandatory offset referred to herein before receiving the payment in full from Party A.</p>	<p>II. Futures Trading Agreement</p> <p>Article 11. Notification Method and Timing of Margin Call</p> <p>1. Party A shall pay the margin or premium for the trading in full before opening an account or placing orders pursuant to this Agreement. Meanwhile, whenever Party B considers it necessary to control risk, or where the equity amount in Party A' s account is less than the margin to be maintained for all positions upon Party A' s application for disassembly of the portfolio for futures or option positions or termination of offset positions, Party A shall make up the required amount in full within the specific time limit per Party B' s notice. Party B shall be entitled to proceed with the mandatory offset referred to herein before receiving the payment in full from Party A.</p>
--	---

<p>III. Notification of Trading Rules 9. Margin Call and Mandatory Offset: (4) Where the client applies during the trading hours (including after-hours trading) for the splitting/ decomposing of strategic orders/combination positions of futures or options positions, or for the abolishment of closed positions, and such application causing the equity value in the client' s account to falls below the maintenance margin required for all positions, KGI will apply subparagraph (1) stated herein; if it occurs during the after-hours trading hours, KGI will execute reverse offsetting on non-exempt products.</p>	<p>III. Notification of Trading Rules 9. Margin Call and Mandatory Offset: (4) Where the client applies for splitting/decomposing strategic orders/combination positions of futures or options positions or abolishment of closed positions, causing the equity value in the client' s account falls below the maintenance margin required for all positions, KGI will apply subparagraph (1) stated herein.</p>
--	--

開戶契約修正對照表

2026/05

修正後條文	修正前條文
F_A-3-1-1_外資直接帳戶開戶契約書 F_A-3-1-2_外資直接帳戶開戶契約書 F_A-11-1_開戶契約書_英文版_(境內外國自然人)	
<p>I. Risk Disclosure Statements and Agreements Risk Disclosure Statements and Agreements </p> <p>4. Risk Disclosure Statement and Agreement for Day-Trading of Overseas Futures Contracts </p> <p>(4)The positions of the overseas day-trading shall be liquidated on the trading day and before close of the trading session on that business day. If the client intends to keep positions overnight or cancels the orders to liquidate day-trading positions, the client is required to deposit such sufficient funds 30 minutes prior to the close of the trading session so as to bring the margin back to the level of the initial margin required for such futures contract. Moreover, from 30 minutes before the close of the trading session, KGI is entitled, but not obligated, to cancel all outstanding day-trading orders and for those who fails to deposit sufficient funds, to cancel all outstanding day-trading reverse orders (including reverse markup orders), KGI shall first consolidate its open positions into net positions through relevant operational procedures (by offsetting long and short open positions under the same contract, with the remaining aggregate single-sided positions constituting the net position), and then to use the function of changing order price or cancel all outstanding day-trading reverse orders (including reverse markup orders), and liquidate open positions of day-trading in such manner acceptable by relevant</p>	<p>I. Risk Disclosure Statements and Agreements Risk Disclosure Statements and Agreements </p> <p>4. Risk Disclosure Statement and Agreement for Day-Trading of Overseas Futures Contracts </p> <p>(4)The positions of the overseas day-trading shall be liquidated on the trading day and before close of the trading session on that business day. If the client intends to keep positions overnight or cancels the orders to liquidate day-trading positions, the client is required to deposit such sufficient funds 30 minutes prior to the close of the trading session so as to bring the margin back to the level of the initial margin required for such futures contract. Moreover, from 30 minutes before the close of the trading session, KGI is entitled, but not obligated, to cancel all outstanding day-trading orders and for those who fails to deposit sufficient funds, to cancel all outstanding day-trading reverse orders (including reverse markup orders), and liquidate open positions of day-trading in such manner acceptable by relevant exchanges. The client shall repay the over loss, if any, as a result of the liquidation. The client shall not instruct to liquidate open positions of the day-trading after the time prescribed by KGI, in order to avoid duplicating liquidation of the day-trading open positions. If, however, the client and KGI duplicate the liquidation of day-trading open positions, the positions of the</p>

<p>exchanges. The client shall repay the over loss, if any, as a result of the liquidation. The client shall not instruct to liquidate open positions of the day-trading after the time prescribed by KGI, in order to avoid duplicating liquidation of the day-trading open positions. If, however, the client and KGI duplicate the liquidation of day-trading open positions, the positions of the duplicate trades shall all belong to the client.</p> <p>...</p>	<p>duplicate trades shall all belong to the client.</p> <p>...</p>
<p>6. Risk Disclosure Statement and Agreement for Electronic Order Placing</p> <p>...</p>	<p>6. Risk Disclosure Statement and Agreement for Electronic Order Placing</p> <p>...</p>
<p>(1) The definition of Electronic Trading includes the trading completed via Internet, voice mail, wired (wireless) telephone and data transmission, etc.</p> <p>.....</p>	<p>(1)The definition of Electronic Trading includes the trading completed via Internet, voice mail, wired (wireless) telephone and data transmission, etc.</p> <p>.....</p>
<p>7. Agreement on Margin Transfer of Domestic or foreign Futures Trading Accounts</p> <p>(Only applicable to the clients who meet the qualifications defined by the competent authority and after agreement with KGI)</p> <p>.....</p>	<p>7. Agreement on Margin Transfer of Domestic Futures Trading Accounts</p> <p>(Only applicable to the clients who meet the qualifications defined by the competent authority and after agreement with KGI)</p> <p>.....</p>
<p>(3)The client acknowledges domestic or foreign futures that for margin transfers between different trading accounts with the same ID at the same futures firm, the designated withdrawal account of each domestic or foreign futures trading accounts for mutual margin transfer shall be the</p>	<p>(3)The client acknowledges that the designated withdrawal account of each domestic futures trading accounts for mutual margin transfer shall be the same. If the client changes the withdrawal account causing the withdrawal account of such trading accounts to be different, KGI will</p>

<p>same. If the client changes the withdrawal account causing the withdrawal account of such trading accounts to be different, KGI will be unable to proceed with the mutual margin transfer.</p> <p>.....</p> <p>II. Futures Trading Agreement</p> <p>.....</p> <p>Article 9. Notification Method and Timing of Margin Call</p> <p>1. Party A shall pay the margin or premium for the trading in full before opening an account or placing orders pursuant to this Agreement. Meanwhile, whenever Party B considers it necessary to control risk, or where, during trading hours (including after-hours trading), the equity amount in Party A' s account is less than the margin to be maintained for all positions upon Party A' s application for disassembly of the portfolio for futures or option positions or termination of offset positions, Party A shall make up the required amount in full within the specific time limit per Party B' s notice. Party B shall be entitled to proceed with the mandatory offset referred to herein before receiving the payment in full from Party A.</p>	<p>be unable to proceed with the mutual margin transfer.</p> <p>.....</p> <p>II. Futures Trading Agreement</p> <p>.....</p> <p>Article 9. Notification Method and Timing of Margin Call</p> <p>1. Party A shall pay the margin or premium for the trading in full before opening an account or placing orders pursuant to this Agreement. Meanwhile, whenever Party B considers it necessary to control risk, or where the equity amount in Party A' s account is less than the margin to be maintained for all positions upon Party A' s application for disassembly of the portfolio for futures or option positions or termination of offset positions, Party A shall make up the required amount in full within the specific time limit per Party B' s notice. Party B shall be entitled to proceed with the mandatory offset referred to herein before receiving the payment in full from Party A.</p>
--	---

<p>III. Notification of Trading Rules </p> <p>9. Margin Call and Mandatory Offset: </p> <p>(4) Where the client applies during the trading hours (including after-hours trading) for the splitting/ decomposing of strategic orders/combination positions of futures or options positions, or for the abolishment of closed positions, and such application causing the equity value in the client' s account to falls below the maintenance margin required for all positions, KGI will apply subparagraph (1) stated herein; if it occurs during the after-hours trading hours, KGI will execute reverse offsetting on non-exempt products.</p>	<p>III. Notification of Trading Rules </p> <p>9. Margin Call and Mandatory Offset: </p> <p>(4) Where the client applies for splitting/decomposing strategic orders/combination positions of futures or options positions or abolishment of closed positions, causing the equity value in the client' s account falls below the maintenance margin required for all positions, KGI will apply subparagraph (1) stated herein.</p>
---	--

修正後條文	修正前條文
F_A-12-1_線上開戶契約書	
<p>CRS 及 FATCA 自我聲明表(自然人適用)</p> <p>.....</p> <p>重要告知:</p> <p>1.根據美國外國帳戶稅務遵從法(「FATCA」)及中華民國金融機構執行共同申報及盡職審查作業辦法(「CRS」)之規定(「FATCA」及「CRS」統稱為「法規要求」),凱基期貨股份有限公司(下稱凱基期貨)應蒐集及申報有關帳戶持有人之稅籍與特定相關資料。若帳戶持有人具有非中華民國之稅籍,凱基期貨依法規要求,須將您在本聲明書中的資訊及您金融帳戶之其他金融資訊,申報予美國國稅局或中華民國稅務機關。除美國稅籍適用 FATCA 外,帳戶持有人如有其他國家之稅籍資料及金融帳戶資訊,中華民國稅務機關可能經由政府間所簽訂之協定,進行稅務目的金融帳戶資訊交換,並將該資訊提供他方國家/地區稅捐稽徵機關。</p> <p>.....</p> <p>3.凱基期貨就填寫本自我聲明表不提供任何稅務或法律意見。如您對於自我聲明表內容或您所屬稅籍有任何問題,請諮詢您的稅務顧問或參考當地稅務機關發布之相關資訊。</p> <p>.....</p>	<p>CRS 及 FATCA 自我聲明表(自然人適用)</p> <p>.....</p> <p>重要告知:</p> <p>1. 根據美國外國帳戶稅務遵從法(「FATCA」)及中華民國金融機構執行共同申報及盡職審查作業辦法(「CRS」)之規定(「FATCA」及「CRS」統稱為「法規要求」),凱基證券股份有限公司(下稱凱基證券)應蒐集及申報有關帳戶持有人之稅籍與特定相關資料。若帳戶持有人具有非中華民國之稅籍,凱基證券依法規要求,須將您在本聲明書中的資訊及您金融帳戶之其他金融資訊,申報予美國國稅局或中華民國稅務機關。除美國稅籍適用 FATCA 外,帳戶持有人如有其他國家之稅籍資料及金融帳戶資訊,中華民國稅務機關可能經由政府間所簽訂之協定,進行稅務目的金融帳戶資訊交換,並將該資訊提供他方國家/地區稅捐稽徵機關。</p> <p>.....</p> <p>3.凱基證券就填寫本自我聲明表不提供任何稅務或法律意見。如您對於自我聲明表內容或您所屬稅籍有任何問題,請諮詢您的稅務顧問或參考當地稅務機關發布之相關資訊。</p> <p>.....</p>